

Food System Economics Commission

## WORKING PAPER

Diet-focused Behavioral Interventions to Reduce the Risk of Non-Communicable Diseases in Low- and Middle-income Countries: A Scoping Review of the Existing Evidence.

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# Diet-focused Behavioral Interventions to Reduce the Risk of Non-Communicable Diseases in Low- and Middle-income Countries: A Scoping Review of the Existing Evidence

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## Author contributions

RN and HT conceived the manuscript, AC and RN prepared the first draft with contributions from NE, NE and AT conducted the initial search process, AC and NE conducted statistical analysis and prepared figures. All others have reviewed, commented on, and approved the manuscript.

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## Abstract

#### Purpose

Non-communicable diseases (NCDs) are on the rise worldwide, with low- and middle-income countries (LMICs) bearing more than three-quarters of global deaths from NCDs. Unhealthy diet is a major risk factor for NCDs and reducing the burden of NCDs hinges crucially on improving dietary choices and intake. This scoping review compiles evidence on the effectiveness of diet-focused behavioral interventions in LMICs.

#### Methods

A broad set of behavioral interventions to address diet were defined with inclusion criteria. Financial interventions (such as sugar taxes) were excluded as their impacts have been studied in prior research. To ensure coverage of multiple disciplines and methodologies, five separate databases were used to identify eligible studies. The final sample comprised 52 studies. The interventions investigated were education (N=10), messaging (N=12), multi-component (N=26) and other (N=4). The most common evaluation methods were randomized controlled trials (N=31) followed by pre-post comparisons (N=13). We extracted effect sizes from the studies for three categories of outcomes: biological risk factors (such as cholesterol), nutritional intake for different food groups (e.g., fruits and vegetables) and nutritional knowledge.

#### Results

Studies of behavioral interventions to promote dietary change reported a broad range of outcomes. The most commonly reported outcomes were body mass index (BMI), intake of specific foods, and nutrition knowledge. Most interventions produced small effects in the expected direction. Many of the studies fell short on quality measures, with quality being affected by small sample sizes, no adjustment for multiple hypothesis testing, poorly described outcomes, and lack of objectively measured outcomes. A few LMICs were heavily represented but many LMICs were not represented at all. Overall, there was limited evidence on how to effectively promote healthier diets through behavioral interventions in LMICs. Existing studies have examined a narrow range of potential interventions and provided little evidence of end-point health effects.

#### Introduction

There is an increasing burden of non-communicable diseases (NCDs) worldwide driven by aging populations, growing health risks in many countries, and changes in work and personal behaviors. The annual global death toll of these diseases was 42 million in 2019, a significant increase from the 31 million NCD deaths in 2000. About 77 percent of current NCD deaths occur in low- and middle-income countries (LMICs),<sup>1</sup> mostly among adults under the age of 70 years. This paper reviews evidence on the effectiveness of behavioral interventions to reduce risk of NCDs through dietary changes in LMICs.

Suboptimal diets that are high in sugar, saturated fats, and sodium and low in whole grains and fruits are common across the world and are a major risk factor for many NCDs and the leading cause of cardiovascular disease globally.<sup>2</sup> Cardiovascular disease, obesity, diabetes, and cancer are all influenced by dietary intake. Globally, 11 million deaths stemmed from poor diets in 2017 and one in five of all deaths could be prevented by improved diet.<sup>3</sup> Obesity and overweight have reached epidemic levels, with global obesity prevalence tripling since 1975 such that 13 percent of adults are currently obese.<sup>4</sup> The economic cost of overweight and obesity in 161 countries is estimated to be USD 2.9 trillion in 2019, rising to USD 22.8 trillion by 2060 under status quo conditions.<sup>5</sup>

Eating behaviors are highly modifiable but are influenced by many contextual factors, including culture, psychology, social conditions, risk perception and many more aspects of daily life.<sup>6-8</sup> The field of behavioral science provides insights and evidence on approaches that can be used to improve diet-specific behaviors.<sup>9,10</sup> For example, presenting caloric information on menus can prompt the selection of relatively healthy food options.<sup>11,12</sup> Periodic text messages sent to individuals can equip them with the motivational tools and knowledge they need to reduce sodium consumption.<sup>13</sup> School-based healthy diet education can be useful for promoting healthy eating.<sup>14-</sup> <sup>16</sup> In this review, we explore the potential for these types of behavioral interventions – as well as others – to improve dietary intake and select health outcomes.

Much of the evidence on the efficacy of behavioral interventions to improve dietary patterns and choices comes from high-income countries (HIC).<sup>17-19</sup> The literature on diet-focused fiscal interventions is an exception. Many countries around the world, including LMICs, tax unhealthy foods and drinks such as sugar sweetened beverages (SSBs) with the goal of reducing consumption

patterns, and several reviews have summarized how these policies have performed in different settings.<sup>20-24</sup> The World Bank inaugurated a database on global SSB taxes.<sup>25</sup> Other national policies – such as regulations on marketing to children and requirements for package labeling – have also been implemented and evaluated in LMICs.<sup>26</sup>

Less is known about a wide range of other behavioral interventions that can affect dietary behaviors, such as those that modify food choice architecture (for example, the way in which meal options are presented in stores) or seek to increase adherence to healthy food habits (for example, by guiding individuals through intention setting exercises). Given the importance of contextual factors and level of development, it is not clear whether these interventions – which have shown promise in high-income countries – will be successful in LMICs. Accordingly, this review focuses on non-fiscal, micro-level behavioral interventions to promote healthy diets in LMICs. The review provides a broad overview of a growing body of literature that spans multiple disciplines and diverse locations.

#### Methods

We carried out a multi-step scoping review on behavioral interventions to influence dietary change in LMICs. Sucharew and Macaluso describe a scoping review as a "research method and strategy to map, describe, and provide an overview of the published literature to identify relevant data and gaps to inform policymaking and research.<sup>27</sup> The review was performed in a two-step process. The first step was to identify databases to be searched as well as inclusion and exclusion criteria. The second step involved performing a scoping search that aligned with Arksey and O'Malley's fivestage framework,<sup>28</sup> a process that includes the identification of a research question, identifying relevant studies that met the inclusion criteria, study selection, mapping of the data, and a summary of results. The search was performed between June 21, 2021 and July 14, 2021.

#### Step 1: Develop parameters for the search

We began by identifying prominent research in the dietary behavior change literature, which we defined as interventions aimed at influencing individual and household-level purchase and consumption of foods. We were driven by the hypothesis that there is a scarcity of dietary behavior change intervention studies originating from LMICs. This was confirmed in initial searches that

uncovered many systematic reviews of behavioral interventions for dietary change in high-income countries, but few that focused on LMICs.<sup>29,30</sup>

We developed preliminary inclusion and exclusion criteria, which would be elaborated post-hoc as reviewers became more familiar with the literature. We exclude financial interventions, complementary feeding interventions, and other interventions that sought to reduce undernutrition, as these areas of research have received greater attention in LMICs as compared with behavioral interventions that focus on improving dietary behaviors associated with obesity and overweight status and NCD risk.

#### Step 2: Conduct 5-stage scoping review

## 2.1 Formulate the research question

The development of the research question was guided by domain expertise in both NCD interventions and behavioral economic interventions. We formulated the following research question: "What behavioral interventions have been applied at the individual (micro) level to effectively improve healthy dietary intake [and reduce the risk of overweight and obesity and subsequent NCDs]?"

Behavioral interventions for diet were defined as those interventions that sought to use provision of knowledge, incentives, or behavioral cues to measurably alter an individual's decisions regarding food purchasing and consumption. Included individuals were adults and children in settings where they have agency in choosing major aspects of their dietary intake.

LMICs were defined according to World Bank definitions for these country categories in 2021.

#### 2.2 Search databases for relevant studies

The search was conducted using five electronic databases: PubMed, Web of Science, EMBASE, PsycINFO, and EconLit. The databases were selected to represent an array of disciplines and were chosen with guidance from a university research librarian. A comprehensive list of search terms that were used as part of the search strategy is provided in Table 1.

#### Table 1: Databases searched and inclusion terms

#### **DATABASES SEARCHED**

PubMed, Web of Science, EMBASE, PsycINFO, and EconLit

Search terms

Countries

See Appendix A

incentiv\*[tiab] OR reward\*[tiab] OR nudge\*[tiab] OR "choice architecture" [tiab] OR "behavioral economic\*"[tiab] OR "behavioural economic\*"[tiab] OR "calorie labeling"[tiab] OR "labeling"[tiab] OR "labelling"[tiab] OR "commitment contract"[tiab] OR "deposit contract" [tiab] OR "commitment device" [tiab] OR "framing"[tiab] OR "intertemporal choice"[tiab] OR "present bias" [tiab] OR "loss aversion" [tiab] OR "social norm"[tiab] OR "status quo bias"[tiab] OR "mental Intervention accounting"[tiab] OR "social comparison"[tiab] OR "industry regulations" [tiab] OR "information provision"[tiab] OR "food composition"[tiab] OR "food formulation"[tiab] OR "marketing restrictions"[tiab] OR "dietary guidelines" [tiab] OR "ban+trans fats" [tiab] OR "food labeling" [tiab] OR "food labelling" [tiab] OR "menu labeling"[tiab] OR "labeling requirements"[tiab] OR "package labeling" [tiab] OR "nutrient labeling" [tiab] OR "nutrition labeling"[tiab] OR "voucher" [tiab] OR "labeling" [tiab] OR "text messag\*" [tiab] OR "messag\*"[tiab]

Outcome

"BMI"[tiab] OR "body mass index"[tiab] OR "body weight decrease"[tiab] OR "weight reduc\*"[tiab] OR "weight loss"[tiab] OR "weight loss diet"[tiab] OR "weight reduc\* diet"[tiab] OR "health-promoting diet"[tiab] OR "healthy diet"[tiab] OR "healthy food"[tiab] OR "food consumption"[tiab] OR "healthful diet"[tiab] OR reducing diet[tiab] OR healthy eating[tiab] OR feed\* behavior\*[tiab] OR "dietary behavior" [tiab] OR eat\* behavior\* [tiab] OR eating habit\*[tiab] OR "feed\* pattern\*"[tiab] OR "feed\* habit\*"[tiab] OR "food intake\*"[tiab] OR "diet\* habit\*"[tiab] OR "sodium intake\*"[tiab] OR "dietary intake"[tiab] OR "salt intake\*"[tiab] OR "fat intake\*"[tiab] OR "sugar\* intake\*" [tiab] OR vegetable\* [tiab] OR fruit\*[tiab] OR "nutri\* adequacy"[tiab] OR "nutrition outcomes"[tiab] OR "excessive eat\*"[tiab] OR "food consum\*"[tiab] OR "blood glucose"[tiab] OR "food decision making"[tiab] OR "meal practices"[tiab] OR "food acqui\*"[tiab] OR "serving size"[tiab] OR "dietary diversity"[tiab] OR "meal proportion\*"[tiab] OR "food waste"[tiab] OR "traditional diet"[tiab] OR "unprocess\* food\*"[tiab] OR "fresh ingredient\*"[tiab] OR "new ingredient\*"[tiab] OR "body mass index\*"[tiab] OR "body weight decrease"[tiab] OR "weight reduc\*"[tiab] OR "weight loss diet" [tiab]

We searched for peer-reviewed English-language studies published since January 2000 that examined the effect of behavioral interventions on changes in diet and diet-related outcomes. We included studies that had an explicitly stated behavioral intervention and that reported a quantitative effect or impact on the outcomes examined. To be included for review, studies had to have been conducted in an LMIC defined by the World Bank as low-income, low-middle income,

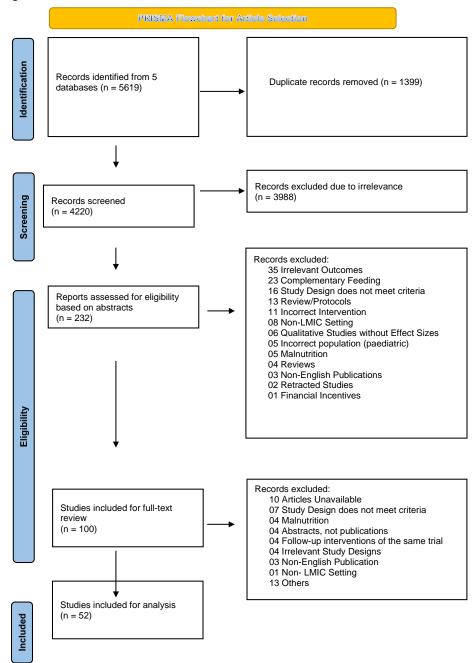
and upper-middle income.<sup>31</sup> Relevant literature reviews were excluded but flagged for later reference during data extraction and analysis.

#### 2.3 Abstract and full-text review of eligible studies

The selection of relevant evidence was based on inclusion and exclusion criteria developed at the outset of the review and elaborated post-hoc as the reviewers became more familiar with the literature.

Study abstracts were screened by two researchers, and conflicts were resolved by the study's Principal Investigators (PIs). Studies that were included based on abstracts were then subject to full-text review that was performed in the same method as the abstract review, where a team of two researchers performed the primary screening and conflicts were resolved by the review's PIs. Figure 1 depicts the number of studies screened and the reasons for exclusion from the review.

#### Figure 1: PRISMA flowchart



#### 2.4 Data extraction

Data was compiled on article characteristics such as year of publication, author(s), country, study design and sample size. In extracting information on changes/effects reported by the included studies, we focus on three categories of outcomes -1) effects on biological risk factors or biometrics, 2) changes in nutritional intake or purchases, and 3) level of nutritional/health knowledge. These types of outcomes appeared frequently, hence our decision to include them in

our review. Within biological risk factors, we specifically extracted changes/effects observed for blood pressure, glucose, cholesterol, blood sugar (HbA1C) and body mass index (BMI). In sourcing information on changes in/effects on nutritional intake, we looked at outcomes related to the following food groups – 1) sugar, 2) salt, 3) fruits, 4) vegetables, and 5) fats. Sugar-sweetened beverages were counted within the sugar sub-category. We included these food groups because the dietary intake of these foods is sub-optimal in almost all regions of the world,<sup>3</sup> and WHO recommends cost-effective behavioral interventions that can alter intake of all those foods.<sup>4</sup>

Each study's data was extracted in full by one researcher and reviewed by another researcher. Data was extracted and compiled into a single Microsoft Excel file.

#### 2.5 Analyzing and reporting results

We computed and presented summary statistics for various study characteristics. Given the heterogeneity in study design across papers, we adjusted the effect sizes to depict these in a standardized manner and facilitate comparison across studies. When intervention and control/comparison group outcome means/medians were available pre- and post-intervention, we computed difference-in-differences (DiD) estimates for the different outcome categories identified above.<sup>1</sup> For pre-post studies, we used the difference between the post- and pre-intervention outcome means/medians. We computed estimates for effects only when these were found to be statistically significant and indicate where results failed to attain statistical significance. Studies that did not test whether the difference in the changes across intervention and comparison groups was statistically significant were coded as having an 'unknown' effect. Since the biological risk factor sub-categories we examined were measured in standard units (for example, BMI is in kilogram/meter<sup>2</sup>), we presented the effect estimates for these outcomes without further adjustment. Nutritional intake and knowledge outcomes, however, tended to be measured differently across studies and therefore the estimates by themselves were not comparable. Accordingly, we presented the DiD/pre-post difference as a percentage of the reference point – either the baseline

<sup>&</sup>lt;sup>1</sup> To illustrate, if the intervention groups' BMI is 28 and 25 pre- and post-intervention respectively and the control group's BMI is 29 and 32 pre- and post-intervention, the DiD estimate is ((25 - 28) - (32 - 29)) = -6.

mean/median or the baseline control group mean/median. Some studies covered multiple outcomes that fell within one of the outcome categories of interest (for example, three different knowledge outcomes). For these, we computed changes for each measure (as a percentage of the reference mean/median) and presented the average of these numbers.<sup>2,3,4</sup>

#### Results

Our review included 52 papers published between 2007 and 2021. As the map in Figure 2 shows, the geographical coverage of the studies was broad, but most studies were conducted in one of a few countries: India, Iran, China, and Mexico. Study details are summarized in Table 2 (extracted data is in Table A1 in the Appendix). All investigations were published between 2007 and 2021, with half published since 2017.

Three study designs were used most frequently to capture program impacts: 31 studies used a randomized controlled trial (RCT) design, 13 compared pre-post changes in outcomes, and six used longitudinal data for both treatment and comparison groups. The remaining two studies in the review employed modelling approaches.

# Table 2: Details of reviewed studies (N = 52)Year of publication

Min

2007

 $^2$  Some studies examine outcomes that fall within one of the outcome categories of interest, but the estimates presented do not lend themselves to the standardization and other adjustments we conduct (for example, the nutrition intake outcome studied – such as healthy snack consumption – does not correspond exactly to the nutrition intake groups we focus on – such as fruits and vegetables). We do not compute change/effect estimates for these studies.

 $^{3}$  We look at changes/effects for fruit and vegetable consumption separately, but several studies probe a single category for consumption of these foods. For these studies, we present the same estimate in both the fruit intake category as well as in the vegetable intake category.

<sup>4</sup> When the change/effect for any measure is a null, we use a zero change/effect for that measure in these computations.

Participants recruited from16Schools16Health centers/Hospitals14Urban community/Communities6Rural community/Communities6Others (e.g. workplaces, provinces, unclear)10Target population13Children13Children with chronic conditions2Adults (some focused on only men or only women)17	2021
Health centers/Hospitals14Urban community/Communities6Rural community/Communities6Others (e.g. workplaces, provinces, unclear)10Target population13Children13Children with chronic conditions2Adults (some focused on only men or only women)17	
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Rural community/Communities6Others (e.g. workplaces, provinces, unclear)10Target population13Children13Children with chronic conditions2Adults (some focused on only men or only women)17	14
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Children with chronic conditions2Adults (some focused on only men or only women)17	
Adults (some focused on only men or only women) 17	13
	70men) 17
	11
Entire population 5	
Others (e.g. households, healthcare workers) 4	4
Intervention type	
Education 10	
Messaging 12	12
Multi-component <sup>1</sup> 26	26
Others (e.g. Labelling, market restrictions) 4	4
Intervention duration (in months)	
Min <sup>2</sup> 0	0
Max 72	72
Mean 10	10
Median 6	6
Study design	
Randomized control trial (RCT) 31	31
Treatment versus Comparison, longitudinal 6	6
Pre-post 13	13
Others (e.g. modelling) 2	2
Sample size - Total	
Min 5	5
Max 12047	12047
Mean 800	800
Median 320	320
Sample size - Treatment	
Mean 495	495
Sample size - Control	
Mean 369	369

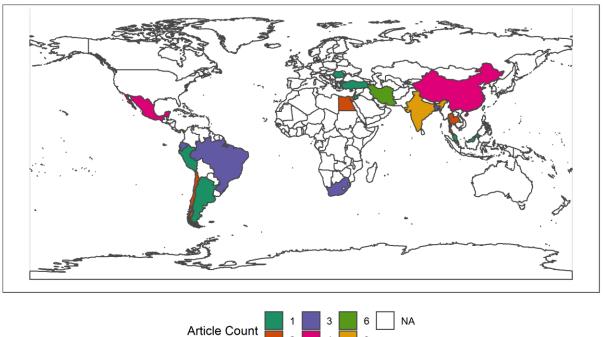
Notes: <sup>1</sup>The most common components for these interventions are education and messaging. Examples of other components include behavior change techniques, policy/environmental/design change, food vouchers and counselling.

<sup>2</sup>Interventions delivered on a single day/through a single session are considered to have lasted for 0.03 or 0 months.

Figure 2: Article Counts by Country

## Article Counts by Country

High-income regions excluded from review, depicted in white



The bulk of the populations in the studies were recruited from schools and health centers/hospitals. The median number of participants in studies was 320 (range 5-12,047). The populations targeted by the interventions were: children (13 studies), children with chronic conditions (2 studies), adults (17 studies), adults with chronic conditions (11 studies), the entire population of the region covered by the intervention (5 studies) and others such as households or healthcare workers (4 studies). Note that we included obesity/overweight status as a chronic condition in this classification. Also, some of the interventions targeting adults focused only on adult men or adult women.

Of the interventions analyzed, 10 were educational interventions, 12 were messaging programs, 26 were multi-component and four were other types such as labelling efforts or market restrictions. The most common types of interventions included in the multi-component programming were education and messaging. Intervention duration ranged from one day to 72 months, with the typical and median duration being 10 and six months respectively. Figures 3-5 show the magnitude of effects/changes for each outcome. Studies that failed to detect statistically significant effects/changes are depicted along the vertical line at zero.

Among biological risk factors, BMI was the most frequently studied outcome. We extracted changes in BMI from 16 studies. Blood pressure outcomes were reported by 10 studies, glucose by seven studies, cholesterol measures by five studies, and HbA1c by three studies.

The effects of the interventions on the five selected biometric measures (blood pressure, blood glucose, cholesterol, HbA1c, and BMI) were generally in the desired direction of change (lowering disease risk) but most changes were small and there were many statistically insignificant results (displayed as zeros). The strongest results were for BMI (Panel 3e). Most of the studies with non-zero effects clustered around a drop in BMI of 0.25 to 0.5 kg/m<sup>2</sup>. Multi-component interventions and direct messaging generally had larger BMI impacts than education only interventions. The largest reduction in BMI occurred from a multi-component weight-loss motivational messaging plus parental intervention for obese and overweight adolescents (Kose, 2021). In the experimental arm, participants had an average BMI reduction of 2.66 (kg/m2) over 6 months. Text messages for obese adults were added to an existing program of education at primary health care facilities in Brazil (Gusmao, 2019). The results showed a reduction in BMI of 1.4 between baseline and four months.

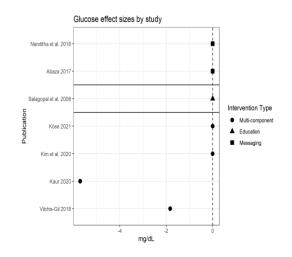
Ten studies measured impact on blood pressure (BP) from education, messaging, and multicomponent dietary interventions (Panel 3a). The strongest effect was from a comprehensive BP control program carried out in PHC facilities in low-income urban areas of Peru (Kim et al, 2020). The study design was pre-post interventions and continued for two years. The intervention resulted in a 9 mmHg reduction in systolic BP among hypertensive and pre-hypertensive adults from baseline. Aside from the Kim et al study, the changes in blood pressure (either systolic or diastolic) were in the range of 1.5 mmHg to 4.5 mmHg declines with no intervention type clearly dominating the others.



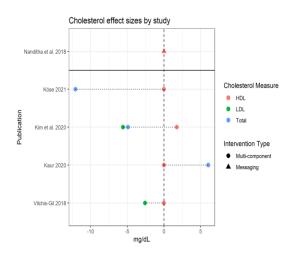
## Panel 3a: Blood pressure

#### Blood pressure effect sizes by study Gusmao et al. 2019 Nanditha et al. 2018 Sranacharoenpong 2018 -Intervention Type He 2015 - Multi-component Education Publication Balagopal et al. 2008 -Messaging Whittemore 2020 -Measure Kim et al. 2020 - 📢 DBP SBP Kaur 2020 -Fottrell 2019 -Rubinstein et al. 2016 --6 mmHg

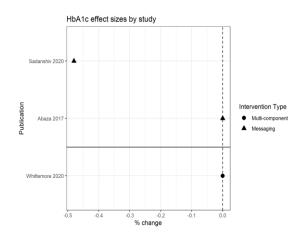
## Panel 3b: Glucose



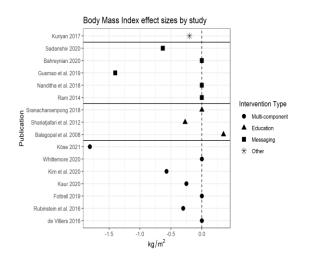
Panel 3c: Cholesterol



Panel 3d: HbA1c



Panel 3e: BMI

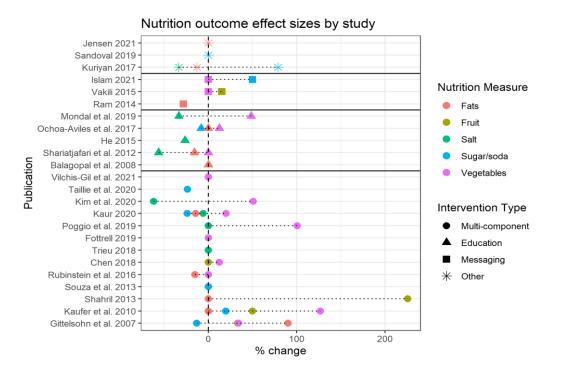


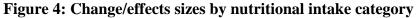
The changes in other biometric outcomes due to healthy diet and lifestyle were mixed (Figures 3b-3d). Five of seven studies that measured changes in blood glucose had no significant effect. Two multi-component studies had effects ranging from almost 2 mg/dl to almost 6 mg/dl declines. Changes in cholesterol were measured in five studies with conflicting results. Of the non-zero, significant results, there were both increases and decreases in various measures of cholesterol. The largest decline occurred in the same study of multi-component weight-loss motivational messaging plus parental intervention for obese and overweight adolescents that showed large BMI decline (Kose, 2021). HbA1c, an indicator of diabetes, was the primary outcome in only three of the studies we reviewed. The only non-zero effect came from Sadanshiv et al. (2020) who showed a substantial decline in HbA1c (-0.48) among diabetes patients in Tamil Nadu, India from a 3-month telephone messaging intervention that provided educational content.

Nutritional intake and food purchase outcomes were investigated by 24 of the studies in the review (Figure 4). Once again, most of the studies showed insignificant effects for all or most dietary intake outcomes. Results for the interventions that focused on nutritional intake are difficult to compare as they are heterogeneous. We measured the effect of those interventions as a percent change from baseline in the primary outcome measured. Fruits and vegetables were the most common categories examined whereas salt reduction and increase in vegetables were the outcomes with the most significant and largest impacts. Most results were in the expected direction – sugar. soda, salt and fat consumption typically declined in

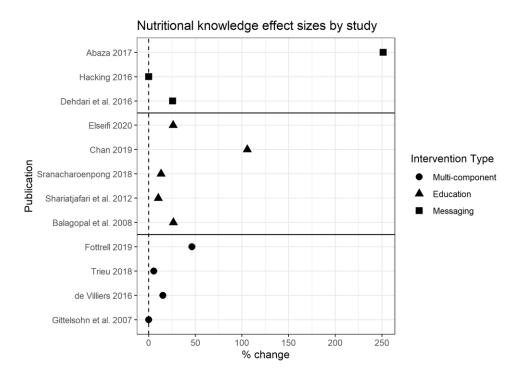


the aftermath of interventions, and fruit and vegetable consumption went up. The largest effects were in the positive direction – primarily increased consumption of fruits and vegetables by 25% or more – while small declines of less than 25% predominated among the non-zero changes for fat, salt, sugar, and soda.





There were 12 studies from which we extracted impacts on knowledge measures pertaining to health and nutrition (Figure 5). Most investigations showed post-intervention improvements in knowledge. Nutritional knowledge increased 25-50% from baseline in 8 out of twelve studies while two out of twelve showed much higher improvements in nutritional knowledge. Abaza and Marschollek (2017) sent text messages to adult patients in Cairo, Egypt who were living with diabetes. They did not demonstrate a significant effect on HbA1c after 12 weeks of the study, however nutritional knowledge increased more than 250%. Chan et al (2019) carried out an intervention in rural China with pre and post measures that showed a roughly 100% improvement in food- and health-related knowledge but limited change in healthy dietary behaviors.



## Figure 5: Change/effects sizes for nutritional knowledge

Table 3 shows indicators of study quality. Of the studies in our review, 33 objectively measured at least one outcome. Sample size determinations were based on power calculations in 25 studies. Note that three of the remaining studies used existing datasets and therefore their sample sizes could not be defined by power calculations. More than half the studies did not correct for multiple hypothesis testing despite analyzing several outcomes. Finally, among the 41 studies that provided estimates for attrition over survey rounds, mean attrition was 16 percent. Five studies did not discuss attrition and attrition concerns do not apply to the remaining six since these used cross-sections at different survey rounds or aggregated data. As discussed previously, a few studies examined the outcomes of interest, but effects could not be extracted for these studies since they did not test whether the change in the intervention group was significantly different from changes in the control group.

Objectively measured at least one outcome	33
Conducted power calculations <sup>1</sup>	25
Conducted multiple hypothesis testing corrections or designated	
select outcomes as primary outcomes or studied one or two	
outcomes	25
Discussed attrition <sup>2</sup>	41
Attrition size	
Min	0
Max	63
Mean	16
Median	11
Confounders accounted for if not randomized control trial (RCT) <sup>3</sup>	8
Notes: <sup>1</sup> Of the studies that did not conduct power calculations, three studies used exist	sting datasets.
Accordingly, their sample sizes were not informed by power	calculations.

#### **Table 3: Indicators of study quality (N = 52)**

Notes: <sup>1</sup>Of the studies that did not conduct power calculations, three studies used existing datasets. Accordingly, their sample sizes were not informed by power calculations. <sup>2</sup>Six studies used several cross-sectional survey rounds or aggregated data. Concerns related to attrition therefore do not apply. <sup>3</sup>21 studies used non-RCT methodologies.

#### Discussion

Gaining a better understanding of how to improve the quality of people's food consumption has become an important policy goal worldwide. A rise in consumption of unhealthy foods and beverages has taken hold in almost all populations, excepting a handful of countries in East Asia. The shifts in consumption from traditional diets has happened especially fast in middle-income countries, and often among all but the poorest segments of the population. These unhealthy dietary shifts undoubtedly stem from a combination of supply- and demandside factors. Among the former are intensive farming techniques – such as industrialization and concentration -- that produce greater calories per hectare and verticalization and



ownership concentration in the food system. Demand-side factors include changes in prices, income, and preferences. Around the world, food processing introduces ingredients into the food supply – such as soy fillers, high-fructose corn syrup, salt and fats – that both cheapen the food and offer greater shelf stability. While consumers often flock to ultra-processed and other foods high in those non-nutritious ingredients due to their cheapness and the pleasure in eating, this simultaneously increases the risk of both acute and chronic health problems such as heart attack, stroke, and diabetes.

There is a robust literature on the effectiveness of behavioral interventions – including lowcost nudges that incorporate insights from behavioral economics - to promote a wide range of health behaviors in high-income countries. While there is substantial variation in the effectiveness of such interventions,<sup>32</sup> some of the successful interventions have been adopted by organizations and governments in high-income countries. Similarly, many studies have evaluated behavioral interventions to promote various health behaviors in LMICs,<sup>10</sup> but there has been relatively little focus on interventions to promote healthy diets and address risk factors for NCDs. We reviewed studies conducted in LMICs to assess the evidence available for developing policy and designing programs to prevent NCDs through improvements in dietary behavior. This review reveals the weak foundations upon which NCD prevention policies are being built. The literature is highly concentrated in a handful of LMICs: China, Mexico, Iran, and India. It uses a mix of study designs – including RCTs – but many studies demonstrate design flaws such as absent or poorly explained sampling, insufficient power to test hypotheses, and high attrition.

Of the interventions that have been evaluated, the largest effects of were observed among high-risk populations – either those who have been diagnosed with NCDs and are reached in clinical settings or those with clinically measured risk factors, such as overweight and obese adolescents. Among the various behavioral interventions that have been evaluated, the ones that were most effective in improving health outcomes were multi-component interventions, but several studies found that messaging and education were also effective.

Change in BMI was the most frequently assessed outcome and several studies evaluated interventions that resulted in BMI declines of  $0.25 - 2.0 \text{ kg/m}^2$  – effects that would have a



substantial impact on health outcomes if the results were sustained over time and when interventions are scaled up. Blood pressure and cholesterol were the other biometric outcomes measured in many studies. The changes achieved by most interventions were generally not clinically significant but, again, if the interventions were sustained beyond the average 6 months (median) of the studies in the review, real improvement in NCD risk might be achievable. Except for BMI and nutrition knowledge, more than half the studies resulted in zero or non-significant outcomes for some or all their primary outcomes. Nutrition knowledge improved in 10 of 12 studies that measured that outcome.

#### Limitations

This scoping review has several limitations. One is that it is a scoping review encompassing a broad research area that has been explored in multiple disciplines, with corresponding variation in types of journals where research is published and outcomes that have are typically studied. This implies that our inclusion and exclusion criteria could have caused us to miss some studies that have produced important knowledge about changing people's dietary behavior, such as sociology or marketing.

We also searched only on English-language articles published in journals catalogued in five databases. We drew from multiple bibliographic databases in hopes of casting a wide disciplinary net but we omitted articles published in national and regional journals that are prominent in some LMICs. It is possible that studies of contextually-specific dietary behavioral change would appear in those journals.

We deliberately excluded studies examining impacts of fiscal policies (taxes and subsidies on foods and beverages) as systematic reviews exist that include LMIC experiences. However, we may have missed studies that examine the effects of other kinds of price policies, such as locally-instituted pricing arrangements intended to alter consumer choices.

Finally, the biggest challenge for our review was to collate the different measured outcomes and synthesize conclusions from heterogeneous results. This causes difficulty in comparing specific interventions and whether they will achieve desired results.



## Conclusion

There is an urgent need for tailored interventions for healthy diet behaviors based on evidence produced in lower-resource settings. The rising prevalence of NCDs in LMICS creates burdensome effects on health systems and economic progress. This implies that more forceful efforts are needed to redirect the food system in multiple ways that incentivize healthy eating. Achieving this goal will require that healthy food be accessible and affordable to all and that the "healthy choice is the easy choice." Yet, while there is great diversity in LMICs, there is a general scarcity of behavioral interventions being implemented, excepting in China, Mexico, Iran and India.

The diversity of food systems and eating environments argue for more research into the design and implementation of healthy dietary approaches that influence people's behavioral through nudges, better health education, and messages informed by behavioral insights.

Multiple avenues exist to make that possible – from public information and outreach to community-based and targeted programs. Countries at all income levels are still learning how to contend with food and beverage industries that pour substantial sums into influencing people's dietary habits, especially to increase consumption of energy-dense industrially-processed foods. A growing number of LMICs are turning to such population-wide approaches to limit the negative influences of commercial food and beverage companies, yet there has been less experimentation with individual behavioral approaches. More innovation and sharing of best practices will establish norms and a level playing field that help consumers choose healthier diets and reduce their risk of developing NCDs.



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## **APPENDIX** A

#### LMIC country search terms

"Developing Countries" [Mesh] OR "Afghanistan" [Mesh] OR "Bangladesh" [Mesh] OR "Benin"[Mesh] OR "Burkina Faso"[Mesh] OR "Burundi"[Mesh] OR "Cambodia"[Mesh] OR "Central African Republic" [Mesh] OR "Chad" [Mesh] OR "Comoros" [Mesh] OR "Democratic Republic of the Congo" [Mesh] OR "Eritrea" [Mesh] OR "Ethiopia" [Mesh] OR "Gambia" [Mesh] OR "Guinea" [Mesh] OR "Guinea-Bissau" [Mesh] OR "Chile" [Mesh] OR "Haiti"[Mesh] OR "Kenya"[Mesh] OR "Democratic People's Republic of Korea"[Mesh] OR "Liberia" [Mesh] OR "Madagascar" [Mesh] OR "Malawi" [Mesh] OR "Mali" [Mesh] OR "Mozambique" [Mesh] OR "Myanmar" [Mesh] OR "Nepal" [Mesh] OR "Niger" [Mesh] OR "Rwanda" [Mesh] OR "Sierra Leone" [Mesh] OR "Somalia" [Mesh] OR "Tajikistan" [Mesh] OR "Tanzania" [Mesh] OR "Togo" [Mesh] OR "Uganda" [Mesh] OR "Zimbabwe" [Mesh] OR "Armenia" [Mesh] OR "Bhutan" [Mesh] OR "Bolivia" [Mesh] OR "Cameroon" [Mesh] OR "Cabo Verde" [Mesh] OR "Congo" [Mesh] OR "Cote d'Ivoire" [Mesh] OR "Djibouti" [Mesh] OR "Egypt" [Mesh] OR "El Salvador" [Mesh] OR "Georgia (Republic)" [Mesh] OR "Ghana" [Mesh] OR "Guatemala" [Mesh] OR "Guyana" [Mesh] OR "Honduras" [Mesh] OR "Indonesia" [Mesh] OR "India" [Mesh] OR "Kosovo" [Mesh] OR "Kyrgyzstan" [Mesh] OR "Laos" [Mesh] OR "Lesotho" [Mesh] OR "Mauritania" [Mesh] OR "Micronesia" [Mesh] OR "Moldova" [Mesh] OR "Mongolia" [Mesh] OR "Morocco" [Mesh] OR "Nicaragua" [Mesh] OR "Nigeria" [Mesh] OR "Pakistan" [Mesh] OR "Papua New Guinea" [Mesh] OR "Paraguay" [Mesh] OR "Philippines" [Mesh] OR "Independent State of Samoa" [Mesh] OR "Atlantic Islands" [Mesh] OR "Senegal" [Mesh] OR "Melanesia" [Mesh] OR "Sri Lanka" [Mesh] OR "Sudan" [Mesh] OR "Eswatini" [Mesh] OR "Syria" [Mesh] OR "Timor-Leste" [Mesh] OR "Ukraine" [Mesh] OR "Uzbekistan" [Mesh] OR "Vanuatu" [Mesh] OR "Vietnam" [Mesh] OR "Middle East" [Mesh] OR "Yemen" [Mesh] OR "Zambia" [Mesh] OR "Angola" [Mesh] OR "Albania" [Mesh] OR "Algeria" [Mesh] OR "American Samoa" [Mesh] OR "Argentina" [Mesh] OR "Azerbaijan" [Mesh] OR "Republic of Belarus" [Mesh] OR "Belize" [Mesh] OR "Bosnia and Herzegovina" [Mesh] OR "Botswana" [Mesh] OR "Brazil" [Mesh] OR "Bulgaria" [Mesh] OR "China" [Mesh] OR "Colombia" [Mesh] OR "Costa Rica" [Mesh] OR "Cuba" [Mesh] OR "Dominica" [Mesh] OR "Dominican Republic" [Mesh]



OR "Ecuador" [Mesh] OR "Equatorial Guinea" [Mesh] OR "Fiji" [Mesh] OR "Gabon" [Mesh] OR "Grenada" [Mesh] OR "Iran" [Mesh] OR "Iraq" [Mesh] OR "Jamaica" [Mesh] OR "Jordan" [Mesh] OR "Kazakhstan" [Mesh] OR "Lebanon" [Mesh] OR "Libya" [Mesh] OR "Republic of North Macedonia" [Mesh] OR "Malaysia" [Mesh] OR "Indian Ocean Islands" [Mesh] OR "Mexico" [Mesh] OR "Montenegro" [Mesh] OR "Namibia" [Mesh] OR "Palau" [Mesh] OR "Panama" [Mesh] OR "Peru" [Mesh] OR "Romania" [Mesh] OR "Russia" [Mesh] OR "Serbia" [Mesh] OR "Seychelles" [Mesh] OR "South Africa" [Mesh] OR "Saint Lucia" [Mesh] OR "Saint Vincent and the Grenadines" [Mesh] OR "Suriname" [Mesh] OR "Thailand" [Mesh] OR "Tonga" [Mesh] OR "Tunisia" [Mesh] OR "Turkey" [Mesh] OR "Turkmenistan" [Mesh] OR "Venezuela" [Mesh] OR "Afghanistan" [all fields] OR "Bangladesh"[all fields] OR "Benin"[all fields] OR "Burkina Faso"[all fields] OR "Burundi"[all fields] OR "Cambodia"[all fields] OR "cabo verde"[all fields] OR "Central African Republic"[all fields] OR "Chad"[all fields] OR "Comoros"[all fields] OR "Democratic Republic of the Congo"[all fields] OR "Eritrea"[all fields] OR "Ethiopia"[all fields] OR "Gambia"[all fields] OR "Guinea"[all fields] OR "Guinea-Bissau"[all fields] OR "Haiti"[all fields] OR "Kenya"[all fields] OR "Democratic People's Republic of Korea"[all fields] OR "Liberia" [all fields] OR "Madagascar" [all fields] OR "Malawi" [all fields] OR "Mali"[all fields] OR "Mozambique"[all fields] OR "Myanmar"[all fields] OR "Nepal"[all fields] OR "Niger"[all fields] OR "Rwanda"[all fields] OR "Sierra Leone"[all fields] OR "Somalia"[all fields] OR "Tajikistan"[all fields] OR "Tanzania"[all fields] OR "Togo"[all fields] OR "Uganda" [all fields] OR "Zimbabwe" [all fields] OR "Armenia" [all fields] OR "Bhutan"[all fields] OR "Bolivia"[all fields] OR "Cameroon"[all fields] OR "Cape Verde"[all fields] OR "Congo"[all fields] OR "Cote d'Ivoire"[all fields] OR "Djibouti"[all fields] OR "Egypt"[all fields] OR "El Salvador"[all fields] OR "Georgia (Republic)"[all fields] OR "Ghana"[all fields] OR "Guatemala"[all fields] OR "Guyana"[all fields] OR "Honduras"[all fields] OR "Indonesia" [all fields] OR "India" [all fields] OR "Kiribati" [all fields] OR "Kosovo"[all fields] OR "Kyrgyzstan"[all fields] OR "Kyrgyz"[all fields] OR "Laos"[all fields] OR "lao"[all fields] OR "Lesotho"[all fields] OR "Mauritania"[all fields] OR "Micronesia"[all fields] OR "Moldova"[all fields] OR "Mongolia"[all fields] OR "Morocco"[all fields] OR "Nicaragua"[all fields] OR "Nigeria"[all fields] OR "Pakistan"[all fields] OR "Papua New Guinea" [all fields] OR "Paraguay" [all fields] OR "Philippines" [all



fields] OR "Independent State of Samoa" [all fields] OR "Atlantic Islands" [all fields] OR "Sao Tome"[all fields] OR Principe[all fields] OR "Senegal"[all fields] OR "Melanesia"[all fields] OR "Solomon islands" [all fields] OR "Sri Lanka" [all fields] OR "Sudan" [all fields] OR "Swaziland"[all fields] OR "Eswatini"[all fields] OR "Syria"[all fields] OR "East Timor"[all fields] OR "Timor leste"[all fields] OR "Ukraine"[all fields] OR "Uzbekistan"[all fields] OR "Vanuatu"[all fields] OR "Vietnam"[all fields] OR "Middle East"[all fields] OR "west bank"[all fields] OR "Gaza"[all fields] OR "Yemen"[all fields] OR "Zambia"[all fields] OR "Angola" [all fields] OR "Albania" [all fields] OR "Algeria" [all fields] OR "Argentina" [all fields] OR "Samoa" [all fields] OR "Azerbaijan" [all fields] OR "Republic of Belarus" [all fields] OR "Belize"[all fields] OR "Bosnia-Herzegovina"[all fields] OR "Botswana"[all fields] OR "Brazil"[all fields] OR "Bulgaria"[all fields] OR "China"[all fields] OR "Colombia" [all fields] OR "Costa Rica" [all fields] OR "Cuba" [all fields] OR "Dominica" [all fields] OR "Dominican Republic"[all fields] OR "Ecuador"[all fields] OR "Equatorial Guinea"[all fields] OR "Fiji"[all fields] OR "Gabon"[all fields] OR "Grenada"[all fields] OR "Iran"[all fields] OR "Iraq"[all fields] OR "Jamaica"[all fields] OR "Jordan"[all fields] OR "Kazakhstan"[all fields] OR "Lebanon"[all fields] OR "Libya"[all fields] OR "Macedonia" [all fields] OR "Malaysia" [all fields] OR "Indian Ocean Islands" [all fields] OR "Maldives"[all fields] OR "Marshall Islands" [all fields] OR "Mauritius" [all fields] OR "Mexico"[all fields] OR "Montenegro"[all fields] OR "Namibia"[all fields] OR "Palau"[all fields] OR "Panama" [all fields] OR "Peru" [all fields] OR "Romania" [all fields] OR "Russia"[all fields] OR "Russian Federation"[all fields] OR "Serbia"[all fields] OR "Seychelles" [all fields] OR "South Africa" [all fields] OR "Saint Lucia" [all fields] OR "Saint Vincent and the Grenadines" [all fields] OR "Suriname" [all fields] OR "Thailand" [all fields] OR "Tonga"[all fields] OR "Tunisia"[all fields] OR "Turkey"[all fields] OR "Turkmenistan" [all fields] OR "Tuvalu" [all fields] OR "Venezuela" [all fields] OR "low resource"[all fields] OR "under-resourced"[all fields] OR "resource poor"[all fields] OR "under-developed" [all fields] OR "underdeveloped" [all fields] OR "developing country" [all fields] OR "developing countries"[all fields] OR "developing world"[all fields] OR "third world" [all fields] OR lmic[all fields] OR (low[all fields] AND middle[all fields] AND income[all fields])

Table A1: Studies included in review   Year of   Year of							
authors	publication	Country	Target population	Intervention type	Study design	Types of outcomes studied	
harma	2017	India	Adults	Multi-component	T vs. C, longitudinal	Biological risk factors, nutritional intake/purchases Biological risk factors, nutritional	
ottrell	2019	Bangladesh	Adults Adults with Chronic	Multi-component	RCT	intake/purchases, nutrition/health knowledge Biological risk factors, nutritional	
Vhittemore	2020	Mexico	Conditions	Multi-component	RCT	intake/purchases	
nsen	2021	Chile	Children Children with Chronic	Marketing restrictions	Pre-post	Nutritional intake/purchases	
öse	2021	Turkey	Conditions Adults with Chronic	Multi-component	RCT	Biological risk factors Biological risk factors, nutritional	
im et al.	2020	Peru Reublic of the	Conditions	Multi-component	Pre-post	intake/purchases Nutritional intake/purchases, nutrition/health	
ittelsohn et al.	2007	Marshall Islands	Adults	Multi-component	Pre-post Fixed-effects	knowledge	
aillie et al.	2020	Chile	Entire population	Multi-component	modeling	Nutritional intake/purchases Biological risk factors, nutritional	
e Villiers	2016	South Africa	Children	Multi-component	RCT	intake/purchases, nutrition/health knowledge	
nan arimi-	2019	China	Adults	Education	Pre-post	Nutrition/health knowledge	
hahanjarini	2013	Iran	Children	Multi-component	RCT	Nutritional intake/purchases	
ouza et al.	2016	Brazil	Adults	Education	Pre-post	Nutrition/health knowledge	
ılaei	2013	Iran	Entire population Adults with Chronic	Multi-component	Pre-post	Nutritional intake/purchases	
hen	2018	China	Conditions	Multi-component	Pre-post	Nutritional intake/purchases	
ato-Lin	2020	India	Children Adults with Chronic	Education	RCT	Nutritional intake/purchases Biological risk factors, nutritional	
baza	2017	Egypt	Conditions Adults with Chronic	Messaging	RCT	intake/purchases, nutrition/health knowledge	
acking	2016	South Africa	Conditions Adults with Chronic	Messaging	RCT	Nutrition/health knowledge Biological risk factors, nutritional	
lam	2021	Bangladesh	Conditions	Messaging	RCT	intake/purchases	
ndoval	2019	Ecuador	Entire population	Labeling	Modeling	Nutritional intake/purchases Nutritional intake/purchases, nutrition/health	
rieu	2018	Samoa	Entire population Children with Chronic	Multi-component	Pre-post	knowledge Biological risk factors, nutritional	
ahreynian	2020	Iran	Conditions Adults with Chronic	Messaging Portion controlled ready to-	Pre-post	intake/purchases Biological risk factors, nutritional	
uriyan	2017	India	Conditions	eat meal replacement	RCT	intake/purchases	
opalan	2019	South Africa	Adults	Multi-component	RCT	Nutritional intake/purchases	



¥7-1-:1:	2015	Tura u	A .l	Maaaaaina	DCT	NI
Vakili	2015	Iran	Adult women	Messaging	RCT T vs. C,	Nutritional intake/purchases
Pfammatter et al	2016	India	Adults	Messaging	longitudinal	Nutritional intake/purchases
Dehdari et al.	2016	Iran	Adults	Messaging	RCT	Nutritional intake/purchases, nutrition/health knowledge
Dendari et al.	2010	11 411	Aduits	Wiessagnig	KC1	Biological risk factors, nutritional
Souza et al.	2013	Brazil	Adults	Multi-component	RCT	intake/purchases
						Biological risk factors, nutritional
Nanditha et al.	2018	India	Adult men	Messaging	RCT	intake/purchases Biological risk factors, nutritional
Ram	2014	India	Adult men	Messaging	RCT	intake/purchases
Tuni	2011	Argentina,	Adults with Chronic	messaging	Rei	Biological risk factors, nutritional
Rubinstein et al.	2016	Guatemala, Peru	Conditions	Multi-component	RCT	intake/purchases
						Biological risk factors, nutritional
Shariatjafari et al. Ponce and Ramos-	2012	Iran	Adult women	Education	RCT	intake/purchases, nutrition/health knowledge
Martin	2017	Ecuador	Households	Multi-component	RCT	Nutritional intake/purchases
			Adults with Chronic	I I I I I		Biological risk factors, nutritional
Poggio et al.	2019	Argentina	Conditions	Multi-component	RCT T vs. C,	intake/purchases
Vilchis-Gil et al.	2021	Mexico	Children	Multi-component	longitudinal	Nutritional intake/purchases
					T vs. C,	
Vilchis-Gil	2018	Mexico	Children	Multi-component	longitudinal	Biological risk factors
Ochoa-Aviles et						Biological risk factors, nutritional
al.	2017	Ecuador	Children	Education	RCT	intake/purchases
Akhu-Zaheya and	2017	т 1	Adults with Chronic	М. <sup>1</sup>	DOT	
Shiyab	2017	Jordan	Conditions Adults with Chronic	Messaging	RCT	Nutritional intake/purchases
Gusmao et al.	2019	Brazil	Conditions	Messaging	Pre-post	Biological risk factors
Mondal et al.	2019	Bangladesh	Adults	Education	Pre-post	Nutritional intake/purchases
Kanchanachitra et	,	8			F	- · · · · · · · · · · · · · · · · · · ·
al	2020	Thailand	Adults	Multi-component	RCT	Nutritional intake/purchases
						Biological risk factors, nutritional
Balagopal et al.	2008	India Federated States	Children and Adults	Education	Pre-post	intake/purchases, nutrition/health knowledge
Kaufer et al.	2010	of Micronesia	Entire population	Multi-component	Pre-post	Nutritional intake/purchases
Radier et al.	2010	or interonesia	Entire population	Wulli-component	ric-post	Biological risk factors, nutrition/health
Sranacharoenpong	2018	Thailand	Adults	Education	RCT	knowledge
						Biological risk factors, nutritional
Kaur	2020	India	Households	Multi-component	RCT	intake/purchases
Vilchis-Gil	2016	Mexico	Children	Multi-component	T vs. C, longitudinal	Biological risk factors
v nems-on	2010	WICAICO	Cillucti	mun-component	iongnuumai	Diological lisk factors



						Biological risk factors, nutritional
Lana	2014	Mexico, Spain	Children	Multi-component	RCT	intake/purchases
Lin	2016	China	Children	Multi-component	RCT	Nutritional intake/purchases
					T vs. C,	Nutritional intake/purchases, nutrition/health
Elseifi	2020	Egypt	Children	Education	longitudinal	knowledge
Shahril	2013	Malaysia	Adults	Multi-component	RCT	Nutritional intake/purchases
Sadanshiv	2020	India	Healthcare workers	Messaging	RCT	Biological risk factors
						Biological risk factors, nutritional
He	2015	China	Children	Education	RCT	intake/purchases
Armitage	2014	Romania	Children	Implementation intentions	RCT	Nutritional intake/purchases

Notes: RCT = randomized controlled trial; T vs. C, longitudinal = treatment-control comparison leveraging longitudinal data